ATTORI THE UNITED STATES TRANSMITTAL LETTER 004501-810 **DESIGNATED/ELECTED OFFICE (DO/EO/US)** U.S. APPLICATION 97 CFR 1.5) **CONCERNING A FILING UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/CH2003/000681 17 October 2003 (17.10.2003) 23 October 2003 (23.10.2002) TITLE OF INVENTION METHOD FOR FABRICATING A STEPPED PROFILE FROM A LAYER SEQUENCE APPLICANT(S) FOR DO/EO/US ASSAL, Jerome; EICHER, SIMON; and NANSER, Erich Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission to items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (22) indicated below. The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. is attached hereto (required only if not communicated by the International Bureau). b. X has been communicated by the International Bureau. c. I is not required, as the application was filed in the United States Receiving Office (RO/US). 6. X An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) a. X is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). 7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. X have not been made and will not be made.

PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 21 below concern document(s) or information included:

11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).

12. 🔲 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).

10. An English language translation of the annexes of the International Preliminary Examination Report under

13. X A FIRST preliminary amendment.

14. A SECOND or SUBSEQUENT preliminary amendment.

A substitute specification.

16. A change of power of attorney and/or address letter.

17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825.

18. A second copy of the published international application under 35 U.S.C. 154(d)(4).

19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

20. X Other items or information: General Authorization for Petitions for Extensions of Time and Payment of Fees; Application Data Sheet; and PCT/ISA/210

JC13 Rec'd PCT/PTO 22 APR 2005

5. APPLICATION NO. (if known 10/53		PCT/CH2003/00068		004501-8	10
. Applicant(s) requests the		tion include the following	assignment	CALCULATIONS	PTO USE ONLY
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				<u></u>	•
The following fees are s	submitted:				
Basic Filing Fee (1631)				\$ 300.00	
Surcharge of \$130.00 (1617 months from the earliest claim			20 🔲 30		
CLAIMS	NUMBER FILED	NUMBER EXTRA		\$	
Total Claims	9 -20 =	<u> </u>	× \$50.00 (1615)	\$ 0.00	
Independent Claims	1 -3 =	0	× \$200.00 (1614)	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00 (1616)					
			+ \$200.00 (1633) + \$200.00 (1633)	\$ 200.00	
Search Fee + \$500.00 (1632) App. Size Fee (add \$250.00 for each add'l 50 sheets exceeding 100 sheets)				\$ 500.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 1,000,00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 1,000.00 \$ 0.00	
SUBTOTAL =				\$ 1,000.00	
Processing fee of \$130.00 (1618) for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 0.00	
TOTAL NATIONAL FEE =				\$ 1,000.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 (8021) per property +					
		TOTAL	FEES ENCLOSED =		
				Amount to be refunded :	
a. A check in the amount of to cover the above fees is enclosed.				charged :	
b. Please charge my Deposit Account No. <u>02-4800</u> in the amount of duplicate copy of this sheet is enclosed.				to cover the abov	e fees. A
		harge any additional fees icate copy of this sheet is		red, or credit any ov	erpayment to
d. X Charge \$1,000	0.00 to credit card. F	orm PTO-2038 is attache	ed.		
NOTE: Where an appropr or (b)) must be filed and g				tition to revive (37	CFR 1.137(a)
or (b)) made be med and g	rantoa to rootoro tiro ap	phoducin to ponding of			
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SEND ALL CORRESPOND	PENCE TO:		+	Clan	
Burns, Doane, Sweck P.O. Box 1404	er & Mathis, L.L.P.		SIGNATURE		
Alexandria, Virginia 22313-1404				Patrick C. Keane	
(703) 836-6620			NAME		
			32,858	Apr	il 22, 2005
			DECISTRATION	LNO	DATE